

DOCKET NO.: BELL-0340/00379C1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Jeffrey A. Aaron, et al.

Application No.: 10/811,585

Filing Date: March 29, 2004

Confirmation No.:

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

For: FIREWALL SYSTEM AND METHOD VIA FEEDBACK FROM BROAD-  
SCOPE MONITORING FOR INTRUSION DETECTION

DATE OF DEPOSIT: APRIL 14, 2004

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
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TYPED NAME: Jonathan M. Waldman  
REGISTRATION NO.: 40,861

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.129(a), this Information Disclosure Statement is being filed in connection with ☐ the first or ☐ second After Final Submission, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached;

or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith
- ☐ Copies of references listed on the attached Form PTO 1449 are not required to be submitted pursuant to the June 30, 2003 recent revisions to 37 CFR § 1.98(a)(2)(i).

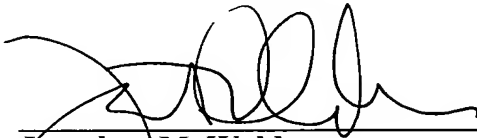
EXCEPT THAT:

- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
- ☒ In accordance with § 1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. § 120 have been made in the instant application:
  - ☒ Copies of references **1 thru 18** listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior Application No. **10/108,078**, filed **March 26, 2002**.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

There are no listed references which are not in the English language.

Date: APRIL 14, 2004

  
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<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>BELL-0340/00379C1</b>	Application No. <b>10/811,585</b>
	Applicant <b>Jeffrey A. Aaron, et al.</b>	
	Filing Date <b>March 29, 2004</b>	Group <b>Not Yet Assigned</b>

**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	1	5,621,889	04/15/97	Lermuzeaux, et al.	395	186
	2	5,826,014	10/20/98	Coley, et al.	395	187.01
	3	5,892,903	04/06/99	Klaus	395	187.01
	4	5,931,946	08/03/99	Terada, et al.	713	201
	5	5,991,881	11/23/99	Conklin	713	201
	6	6,026,502	02/15/00	Wakayama	714	38
	7	6,061,798	05/09/00	Coley, et al.	713	201
	8	6,134,664	10/17/00	Walker	713	201
	9	6,167,358	12/26/00	Othmer, et al.	702	188
	10	5,784,569	07/21/98	Miller, et al.	709	235

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	11	6,119,236	09/12/00	Shipley, Peter M.	713	201
	12	6,205,551 B1	03/20/01	Grosse, Eric	713	201
	13	6,321,338 B1	11/20/01	Porras, et al.	713	201
	14	6,405,318 B1	06/11/02	Rowland, Craig H.	713	200
	15	6,513,122 B1	01/28/03	Magdych, et al.	713	201

**FOREIGN PATENT DOCUMENTS**

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					YES	NO

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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>16</b>	Julia Allen et al., "State of the Practice of Intrusion Detection Technologies", <b>January 2000</b> , Carnegie Mellon University, 1-220	
	<b>17</b>	Julia Allen et al., "A Safe Bet Cert Cercurity Practices", <b>Summer 2001</b> , IANewsletter, 4(3), 5-7	
	<b>18</b>	Security Focus HOME Tools Archive, <a href="http://www.security-portal.com/tools/categor">wysiwg://22/http://www.security-portal.com/tools/categor</a> .	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	